

Alaska Airlines:

- AWA docket no. 95-0004 – An incident occurred on May 23, 1992, according to a [complaint dated October 19, 1994](#). In an [order February 23, 1995](#), Alaska agreed to pay a penalty of \$2500.

American Airlines:

- AWA docket no. 93-0041 (order dated April 6, 1998) *[no information available]*
- AWA docket no. 89-0001 – This proceeding consolidated four complaints against American, according to a [complaint dated December 12, 1989](#). In an [order dated May 2, 1991](#), American agreed to pay a penalty of \$4000.

Aspen Airways:

- AWA docket no. 291 – On July 12, 1983, an animal was transported in an inadequate enclosure, according to a [complaint dated June 15, 1984](#). In an [order dated August 28, 1987](#), Aspen agreed to pay a penalty of \$250.

BAX Global/Burlington Air Express:

- AWA docket no. 01-0038 – On June 19, 2001, 56 prairie voles were accepted for transportation from Amherst, Massachusetts to Tallahassee, Florida via Orlando, Florida; five died in transit of heat stroke, an a sixth after arrival according to a [complaint dated June 5, 2002](#). In an [order dated April 21, 2003](#), BAX Global agreed to pay a penalty of \$17,480.
- AWA docket no. 99-0035 – This proceeding consolidated two complaints against BAX Global, according to a according to a [complaint dated July 27, 1999](#). In an [order dated December 29, 1999](#), BAX Global agreed to pay a penalty of \$6000.
- AWA docket no. 93-0029 (order dated August 13, 1993) *[no information available]*

Continental Airlines:

- AWA docket no. 07-0198 – This proceeding consolidated four complaints against Continental, according to a [complaint dated September 17, 2007](#). In an [order dated September 1, 2009](#), Continental agreed to pay a penalty of \$35,000, of which \$10,000 was held in abeyance providing that the carrier implemented a USDA-approved training program.
- AWA docket no. 01-0026 – On May 14, 1999, two dogs were injured by exposure to extreme cold on a flight from Seattle to Newark, according to a [complaint dated February 13, 2001](#). In an [order dated September 12, 2001](#), Continental agreed to pay a penalty of \$1000.
- AWA docket no. 95-0069 – Continental did not visually observe or exercise care when handling enclosures in connection with four shipments of animals (six rabbits, from Newark to Little Rock on July 20, 1993; ten prairie dogs, from Houston to Orlando on August 1, 1993; a cat, from London to Baltimore on July 28, 1993; and two cats, from Indianapolis to Washington, DC on January 27, 1994), according to a [complaint dated November 17, 1995](#). In an [order dated November 17, 1995](#), Continental agreed to pay a penalty of \$4000.
- AWA docket no. 92-0008 – On September 11, 1989, a dog (one out of a shipment of six) died on a flight from New York (LaGuardia) to Denver. APHIS specifically attributed the death to hyperthermia. Continental agreed to pay a penalty of \$2000. See 51 Agric. Dec. 1256 (order dated June 1, 1992) (order not available on publicly-accessible websites).

- AWA docket no. 91-0071 – On December 11, 1990, a dog escaped from its enclosure and died at Houston. Continental agreed to pay a penalty of \$2000. See 51 Agric. Dec. 484 (order dated January 3, 1992) (order not available on publicly-accessible websites).

Delta Air Lines:

- AWA docket nos. 03-0029, 03-0031, 04-0011, 05-0001, 05-0020, 05-0023, and 05-0025 – This proceeding consolidated seven complaints against Delta.
 - (1) On May 3, 2002, five dogs died on or immediately after a flight from Frankfurt, Germany to Dayton via Atlanta. APHIS specifically attributed the death to inadequate ventilation.
 - (2) On March 10, 2000, one dog died and two others were injured on a flight from Asheville, North Carolina to Atlanta. APHIS specifically attributed the death and injuries to inadequate ventilation.
 - (3) On February 8, 2002, a coatimundi died after being left in a holding room in New York (LaGuardia) for four days without food or water, during which time Delta made inadequate efforts to contact the consignee, and then returned the coatimundi to the shipper in Ft. Myers.
 - (4) On December 19, 2001, a dog was lost after having been left on a cargo cart at Newark.
 - (5) On October 20, 2004, a dog that was lost while being transferred at Dallas from a flight from Bentonville, Arkansas to a flight to Portland, Oregon.
 - (6) On November 22, 2003, a cat died on during transportation from Portland, Oregon to Greensboro via Atlanta.
 - (7) On October 30, 2004, a cat died on a flight from Asheville, North Carolina to Phoenix. APHIS specifically attributed the death to the acceptance of an inadequate enclosure.

In an [order dated November 10, 2005](#), Delta agreed to pay a penalty of \$187,500.

- AWA docket no. 02-0022 – On April 4, 1998, a dog was lost after having been left in a holding room in Atlanta. In an [order dated March 30, 2004](#), Delta agreed to pay a penalty of \$2750.
- AWA docket no. 01-0037 – On May 3, 2000, a dog died after being left in a holding room in Atlanta, according to a [complaint dated June 5, 2001](#). APHIS specifically attributed the death to the acceptance of an inadequate enclosure. In an [order dated February 3, 2003](#), Delta agreed to pay a penalty of \$2500.
- AWA docket no. 01-0036 – On April 24, 2000, a fennec fox was injured during transportation from Fort Myers to Chicago via Atlanta, according to a [complaint dated May 25, 2001](#). APHIS stated that the fennec fox subsequently died on April 25, 2000, and specifically attributed its death to Delta. In an [order dated February 3, 2003](#), Delta agreed to pay a penalty of \$7810.
- AWA docket no. 01-0015 – Between December 30, 1999 and January 3, 2000, a dog was transported between Frankfurt, Germany and Tuscon, during which he received inadequate care and lost ten pounds, according to a [complaint dated December 4, 2000](#). APHIS stated that the dog subsequently died on February 11, 2000, although it did not specifically attribute its death to Delta. In an [order dated February 3, 2003](#), Delta agreed to pay a penalty of \$25,000.
- AWA docket no. 98-0012 – On July 19, 1996, three dogs died and three other animals were injured on a flight from Atlanta, according to a [complaint dated March 5, 1998](#). APHIS specifically attributed the death and injuries to inadequate ventilation. In an [order dated May 11, 1998](#), Delta agreed to pay a penalty of \$6500.

- AWA docket no. 91-0013 – On June 6, 1990, 32 dogs died and 52 other animals were injured (out of 106 dogs and two cats; all but one dog were being shipped by dealers) on a flight from St. Louis to Salt Lake City. APHIS specifically attributed the death and injuries to inadequate ventilation. Delta agreed to pay a penalty of \$140,000, with \$80,000 held in abeyance for one year. See 53 Agric. Dec. 1076 (order dated November 9, 1994) (order not available on publicly-accessible websites).
- AWA docket no. 90-0028 – This proceeding consolidated fifteen complaints against Delta, according to a [complaint dated April 23, 1990](#).
 - (1) On December 19, 1988, a cat was transported between Burbank and Salt Lake City without proper enclosure labeling.
 - (2) On April 28, 1988, at least two dogs were transported between Denver and Atlanta without their enclosures being properly secured during flight, resulting in their falling.
 - (3) On November 17, 1987, two cats were transported from Fort Lauderdale in inadequate enclosures and without proper enclosure labeling.
 - (4) On November 13, 1987, four foxes were transported from Minneapolis/St. Paul without proper documentation regarding food and water requirements or enclosure labeling.
 - (5) On November 10, 1987, two dogs were transported from Little Rock to Atlanta in inadequate enclosures and without proper documentation regarding food and water requirements or enclosure labeling.
 - (6) On October 16, 1987, three rabbits were transported from Ft. Wayne to Seattle without adequate food and water and were accepted for transportation more than six hours before scheduled departure.
 - (7) On September 7, 1987, a primate was accepted for transportation at Raleigh/Durham without proper documentation regarding food and water requirements or enclosure labeling.
 - (8) On July 23, 1987, 14 dogs were transported between Minneapolis/St. Paul and Atlanta without proper enclosure labeling.
 - (9) On June 25, 1987, dogs in Sacramento were left in a holding room in which air temperatures exceeded 85°F.
 - (10) On June 19, 1987, a dog was transported from Tulsa to Atlanta in an inadequate enclosure and without proper documentation regarding food and water requirements.
 - (11) On June 19, 1987, a dog was transported from Tulsa to Daytona Beach via Atlanta in an inadequate enclosure and without proper documentation regarding food and water requirements.
 - (12) On May 29, 1987, two cats were accepted for transportation from Montgomery without proper documentation regarding food and water requirements or enclosure labeling.
 - (13) On April 29, 1987, two primates were transported from Albuquerque to Dallas/Ft. Worth in an inadequate enclosure.
 - (14) On March 24, 1987, a cat was accepted for transportation from West Palm Beach without proper documentation regarding food and water requirements or enclosure labeling.

(15) On January 21-22, 1987, three dogs were transported between Ireland and Florida via Atlanta in inadequate enclosures and without proper documentation regarding food and water requirements or enclosure labeling, and the carrier failed to provide food and water.

In an [order dated August 23, 1990](#), Delta agreed to pay a penalty of \$10,000.

- AWA docket no. 424 – An incident occurred on January 15, 1986, according to a [complaint dated February 20, 1987](#). In an [order dated April 29, 1987](#), Delta agreed to pay a penalty of \$1000.

Emery Air Freight:

- AWA docket no. 363 – This proceeding consolidated six complaints against Emery, according to a [complaint dated October 18, 1985](#).

(1) On November 19, 1984, a primate was transported in an inadequate enclosure and without proper documentation regarding food and water requirements and enclosure labeling.

(2) On December 3, 1984, the safety and comfort of two primates was not ensured during transport.

(3) On January 22, 1985, 203 hamsters and 3 guinea pigs were accepted for transport too many hours before departure.

(4) On February 7, 1985, a primate was injured during transport.

(5) On February 7, 1985, two primates died of hypothermia and the safety and comfort of six others was not ensured during transport.

(6) On March 19, 1985, two rabbits died when their enclosure was crushed during transport.

In an [order dated January 7, 1987](#), Emery agreed to pay a penalty of \$6000.

Hawaiian Airlines:

- AWA docket no. 97-0029 – This proceeding consolidated four complaints against Hawaiian, according to a, according to a [complaint dated May 14, 1997](#).

(1) On June 4, 1994, a dog was injured on a flight to Honolulu.

(2) On October 9, 1994, a cat's kennel was damaged on a flight between Honolulu and Seattle.

(3) On May 24, 1996, a dog was transported between Los Angeles and Las Vegas in an inadequate enclosure and without proper documentation regarding food and water requirements and in an inadequate enclosure.

(4) On June 10, 1996, a dog was transported between Honolulu and Los Angeles without proper documentation regarding food and water requirements, other documentation, and enclosure labeling.

In an [order dated October 17, 1997](#), Hawaiian agreed to pay a penalty of \$5000.

Northwest Airlines:

- AWA docket no. 08-0050 – On May 4, 2005, a cat was lost during transportation from Los Angeles to Orlando, according to a [complaint dated January 17, 2008](#). In an [order dated September 2, 2008](#), Northwest agreed to pay a penalty of \$10,000. See also Northwest's [report to DOT](#).

- AWA docket no. 01-0042 – On April 17, 1998, the carrier accepted an animal in an inadequate enclosure and without proper documentation regarding food and water requirements, according to a [complaint dated June 15, 2001](#). In an [order dated September 5, 2002](#), Northwest agreed to pay a penalty of \$1000.
- AWA docket no. 95-0005 – This proceeding consolidated five complaints against Northwest, according to a [complaint dated October 13, 1994](#).
 - (1) On May 15, 1990, a dog was transported between Green Bay and Hartford in an inadequate enclosure.
 - (2) On May 15, 1990, three dogs were transported between Harrisville and South Windsor without proper documentation regarding food and water requirements and enclosure labeling.
 - (3) On May 14, 1991, two dogs were transported without proper documentation regarding food and water requirements or enclosure labeling.
 - (4) On June 20, 1991, a dog was transported in an inadequate enclosure and without proper documentation regarding food and water requirements or enclosure labeling.
 - (5) On June 25, 1991, 34 ferrets were transported from Syracuse to Madison on a C.O.D. basis without a guarantee of payment for their return transportation if delivery could not be made.

In an [order dated May 8, 1996](#), Northwest agreed to pay a penalty of \$3000.

- AWA docket no. 90-0009 – On August 29, 1987, a cat died while being loaded onto an aircraft at Newark, according to a [complaint dated November 21, 1989](#). In an [order dated May 29, 1990](#), Northwest agreed to pay a penalty of \$500 and show a training film to employees at 21 airports.
- AWA docket no. 90-0007 (order dated August 30, 1990) – On December 4, 1986, 12 dogs were accepted for transportation at Sioux Falls, South Dakota in inadequate enclosures, and on a C.O.D. basis without a guarantee of payment for their return transportation if delivery could not be made according to a [complaint dated November 27, 1989](#). In an [order dated May 29, 1990](#), Northwest agreed to pay a penalty of \$1500. Additionally, in an [order dated November 16, 1990](#), Aircraft Service International, Inc. – an intermediate handler who received the dogs in West Palm Beach, Florida and did not provide food, water, or fresh air – agreed to pay a penalty of \$1,000.

SunJet International Airlines:

- AWA docket no. 96-0046 – On July 31, 1994, a dog died on a flight from Newark to Long Beach, according to a [complaint dated May 3, 1996](#). In an [order dated December 23, 1996](#), SunJet agreed to pay a penalty of \$5000.

Trans World Airlines:

- AWA docket no. 99-0025 – On September 22, 1996, a dog died on a flight from St. Louis to Portland, Oregon, according to a [complaint dated June 8, 1999](#). APHIS specifically attributed the death to exposure to hyperthermia. In an [order dated April 10, 2000](#), TWA agreed to pay a penalty of \$5000.
- AWA docket no. 96-0045 – An incident occurred on August 1, 1995, according to a [complaint dated May 3, 1996](#). In an [order dated January 6, 1997](#), TWA agreed to pay a penalty of \$4000.
- AWA docket no. 93-0035 – On May 20, 1992, 50 dogs (out of a shipment of 81) died on a flight from Kansas City to St. Louis, according to a [complaint dated July 13, 1993](#). The remaining dogs were injured, and six were subsequently euthanized. APHIS specifically attributed the deaths and

injuries to inadequate ventilation. In an [order dated March 3, 1994](#), TWA agreed to pay a penalty of \$60,000.

United Airlines:

- AWA docket no. 09-0146 – This proceeding consolidated five complaints against United, according to a [complaint dated June 29, 2009](#). In an [order dated September 13, 2009](#), United agreed to pay a penalty of \$11,500, of which \$10,000 was held in abeyance providing that the carrier implemented a program to ensure that enclosures were secure.
 - AWA docket no. 95-0008 – This proceeding consolidated ten complaints against United, according to a [complaint dated October 19, 1994](#). In an [order dated February 4, 1997](#), United agreed to pay a penalty of \$3000.
 - AWA docket no. 91-0066 – On September 6, 1990, 29 dogs and cats were accepted for transportation at Chicago O'Hare without ensuring that they had an adequate supply of air for normal breathing, according to a [complaint dated September 3, 1991](#). In an [order dated May 20, 1992](#), United agreed to pay a penalty of \$48,000.
 - AWA docket no. 90-0004 – This proceeding consolidated three complaints against United, according to a [complaint dated November 20, 1989](#).
 - (1) On October 9, 1988, accepted a dog for transportation from Tampa, Florida to Portland, Oregon via Chicago, Illinois in an inadequate enclosure and without proper documentation regarding food and water requirements.
 - (2) On February 12, 1989, accepted a dog for transportation from Fargo, North Dakota to Boston, Massachusetts via Chicago, Illinois in an inadequate enclosure and without proper documentation regarding food and water requirements.
 - (3) On February 12, 1989, accepted a dog for transportation from Denver, Colorado to New York, New York via Chicago, Illinois in an inadequate enclosure and without proper documentation regarding food and water requirements. The same violations occurred in return transportation on February 15, 1989.
- In an [order dated September 13, 1990](#), United agreed to pay a penalty of \$7,500.
- AWA docket no. 384 – This proceeding consolidated five complaints against United, according to a [complaint dated January 31, 1986](#). In an [order dated January 21, 1988](#), United agreed to pay a penalty of \$11,000 and show a training film to employees at 21 airports.

US Airways:

- AWA docket no. 08-0049 – This proceeding consolidated two complaints against US Airways, according to a [complaint dated January 17, 2008](#).
 - (1) On March 29, 2004, a cat was accepted for transportation from Phoenix, Arizona to Munich, Germany via Philadelphia, Pennsylvania. In Philadelphia, the cat's empty enclosure was transferred to the Munich flight; on April 16, 2004, the cat was located in the aircraft in which it originally had been transported.
 - (2) On March 13, 2005, a dog's enclosure was placed on an elevated baggage claim conveyor belt that led to the baggage claim area at Philadelphia, Pennsylvania.

In an [order dated April 18, 2008](#), US Airways agreed to pay a penalty of \$2750.

- AWA docket no. 01-0038 – This proceeding consolidated two complaints against US Airways, according to a [complaint dated June 21, 2001](#) and an [amended complaint dated June 5, 2002](#). In an [order dated June 21, 2002](#), US Airways agreed to pay a penalty of \$50,000.
- AWA docket no. 97-0032 – This proceeding consolidated ten complaints against US Airways, according to a [complaint dated May 21, 1997](#).
 - (1) On June 24, 1995, a shipment of meadow voles was subjected to overheating during transportation between Wilkes-Barre and Memphis.
 - (2) On May 12, 1994, a dog was accepted for transportation at St. Louis in an inadequate enclosure and without proper documentation regarding food and water requirements.
 - (3) On April 7, 1993, a dog was accepted for transportation at Buffalo in an inadequate enclosure.
 - (4) On April 9, 1993, a dog was accepted for transportation at Windsor Locks in an inadequate enclosure
 - (5) On March 30, 1993, a dog was accepted for transportation from Dover to St. Petersburg in an inadequate enclosure.
 - (6) On December 29, 1992, a cat died at San Francisco. APHIS specifically attributed the death to mishandling of its enclosure.
 - (7) On July 4, 1992, a cat was injured at Miami. APHIS specifically attributed the injury to its being placed on a conveyor which was not attended at both ends.
 - (8) On January 21, 1992, a river otter at Seattle was subjected to air temperatures below 45°F for more than 45 minutes.
 - (9) On November 26, 1991, a dog was accepted for transportation at Philadelphia in an inadequate enclosure and was subjected to air temperatures below 45°F for more than 45 minutes.
 - (10) On September 30, 1991, a capybara was accepted for transportation from Memphis to Charlotte in an inadequate enclosure and without proper documentation regarding food and water requirements

In an [order dated September 22, 1998](#), US Airways agreed to spend \$25,000 on a study to improve the handling of animals during air transportation.